

Katětov order

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Notation: Ideals, filters and ultrafilters

- $\mathcal{I} \subsetneq \mathcal{P}(\omega)$ is an **ideal** if (1) it is hereditary, (2) closed under finite unions, (3) contains all finite sets, (4) $\omega \notin \mathcal{I}$.
- $\mathcal{F} \subsetneq \mathcal{P}(\omega)$ is a **filter** if (1) it is closed under supersets, (2) closed under finite intersections, (3) contains all co-finite sets, (4) $\emptyset \notin \mathcal{F}$.
- $\mathcal{U} \subsetneq \mathcal{P}(\omega)$ is an **ultrafilter** if it is a maximal (free) filter.
- An ideal \mathcal{I} is **tall** if every infinite subset of ω contains an infinite set in \mathcal{I} .
- Given an ideal \mathcal{I} , $\mathcal{I}^+ = \mathcal{P}(\omega) \setminus \mathcal{I}$ denotes the family of \mathcal{I} -positive sets.
- Given $\mathcal{X} \subseteq \mathcal{P}(\omega)$, $\mathcal{X}^* = \{\omega \setminus X : X \in \mathcal{X}\}$.
- Given $\mathcal{X} \subseteq \mathcal{P}(\omega)$ and $Y \in \mathcal{P}(\omega)$,
 $\mathcal{X} \upharpoonright Y = \{X \cap Y : X \in \mathcal{X}\}$ or $\{X \cap Y : X \in \mathcal{X} \text{ s.t. } |X \cap Y| = \omega\}$.

Notation: Almost disjoint families

- An infinite $\mathcal{A} \subseteq [\omega]^\omega$ is **almost disjoint (AD)** if $A \cap B$ is finite for any $A \neq B \in \mathcal{A}$
- Given an AD family \mathcal{A} ,
 - $\mathcal{I}(\mathcal{A}) = \{X \subseteq \omega : \exists \mathcal{B} \in [\mathcal{A}]^{<\omega} X \subseteq^* \bigcup \mathcal{B}\}$
 - $\mathcal{I}^+(\mathcal{A}) = \mathcal{I}(\mathcal{A})^+ = \mathcal{P}(\omega) \setminus \mathcal{I}(\mathcal{A})$, while
- An AD family \mathcal{A} is **MAD** if for every $X \in [\omega]^\omega$ there is an $A \in \mathcal{A}$ such that $A \cap X$ is infinite (iff $\mathcal{I}(\mathcal{A})$ is tall).

Definition (Katětov '68).

Let \mathcal{I} and \mathcal{J} be ideals on ω .

- (**Katětov order**) $\mathcal{I} \leq_K \mathcal{J}$ if there is a function $f : \omega \rightarrow \omega$ such that $f^{-1}[I] \in \mathcal{J}$, for all $I \in \mathcal{I}$.
- (**Katětov-Blass order**) As above with a finite-to-one function f .
- We will say \mathcal{I} and \mathcal{J} are **Katětov-equivalent** ($\mathcal{I} \simeq_K \mathcal{J}$) if $\mathcal{I} \leq_K \mathcal{J}$ and $\mathcal{J} \leq_K \mathcal{I}$, and analogously for the Katětov-Blass order.

The basic properties of the Katětov order are listed here.

Let \mathcal{I} and \mathcal{J} be ideals on ω .

- 1 $\mathcal{I} \simeq_K \text{fin}$ if and only if \mathcal{I} is not tall.
- 2 If $\mathcal{I} \subseteq \mathcal{J}$ then $\mathcal{I} \leq_K \mathcal{J}$.
- 3 If $X \in \mathcal{I}^+$ then $\mathcal{I} \leq_K \mathcal{I} \upharpoonright X$.
- 4 $\mathcal{I} \oplus \mathcal{J} \leq_K \mathcal{I}, \mathcal{J}$.
- 5 $\mathcal{I}, \mathcal{J} \leq_K \mathcal{I} \times \mathcal{J}$.

Here $\mathcal{I} \oplus \mathcal{J}$ denotes the disjoint sum of \mathcal{I} and \mathcal{J} , and

$$\mathcal{I} \times \mathcal{J} = \{A \subseteq \omega \times \omega : \{n : \{m : (n, m) \in A\} \notin \mathcal{J}\} \in \mathcal{I}\}$$

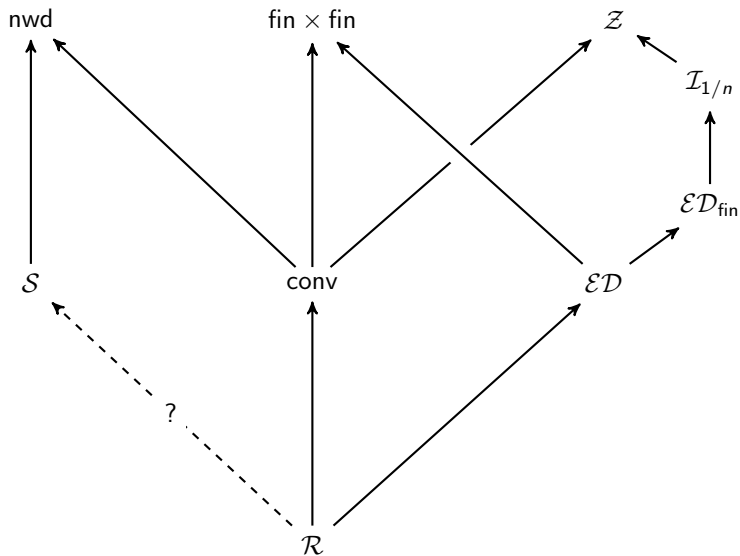
denotes the *Fubini product* of the ideals.

It is easy to see that both the disjoint sum and the Fubini product of **Borel** ideals are Borel. Hence, the Katětov order on Borel ideals is both upward and downward directed.

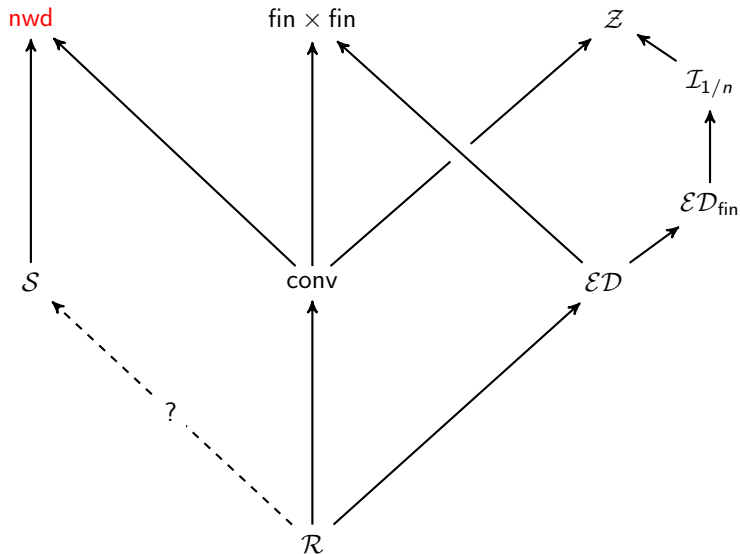
Up and down

- 1 Maximal ideals (ultrafilters) are cofinal in the Katětov order on (t)all ideals
- 2 (Ideals generated by) MAD families are co-initial in the Katětov order on tall ideals
- 3 (Sakai '18) Every analytic ideal is contained in a Borel ideal.
- 4 (Grebík-Vidnyánszky '21) Every tall analytic ideal contains a tall F_σ -ideal.
- 5 Borel ideals are both co-final and co-initial among analytic ideals in the Katětov order.

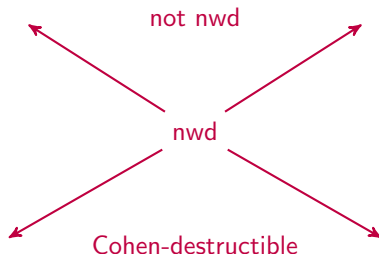
The diagram



The ideal nwd

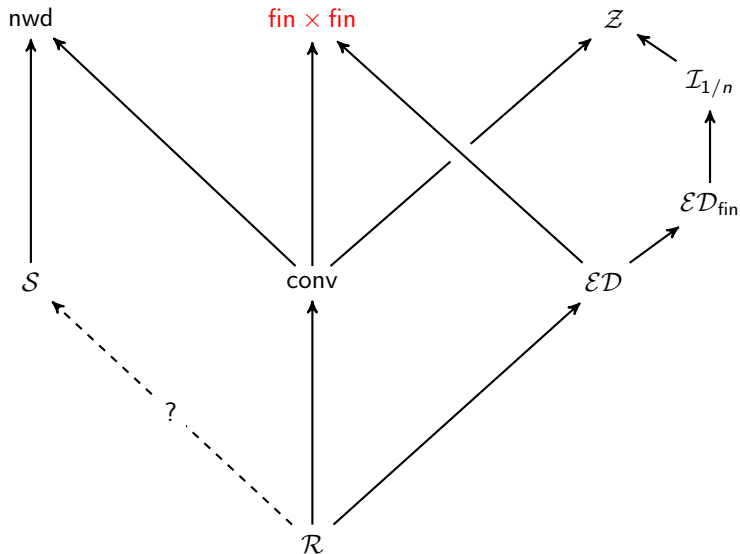


Upward and downward cones of Borel ideals



- (Baumgartner '95) An ultrafilter \mathcal{U} is an **nwd-ultrafilter** if for every $f : \omega \rightarrow \mathbb{Q}$ there is a $U \in \mathcal{U}$ such that $f[U] \in \text{nwd}$, i.e. $\text{nwd} \not\leq_K \mathcal{U}^*$.
- (Błaszczyk-Shelah '01) There is a σ -centered forcing not adding Cohen-reals iff there is a **nwd-ultrafilter**.
- (Shelah '98) It is consistent that there are no **nwd-ultrafilters**.
- (Prikry '70s) Is it consistent that every c.c.c forcing adds a **Cohen or random real**?
- (H., Kurilić '01) A MAD family \mathcal{A} is Cohen-indestructible iff $\mathcal{I}(\mathcal{A}) \not\leq_K \text{nwd}$.
- (Steprāns '93) Is there a **Cohen-indestructible MAD family**?

The ideal $\text{fin} \times \text{fin}$



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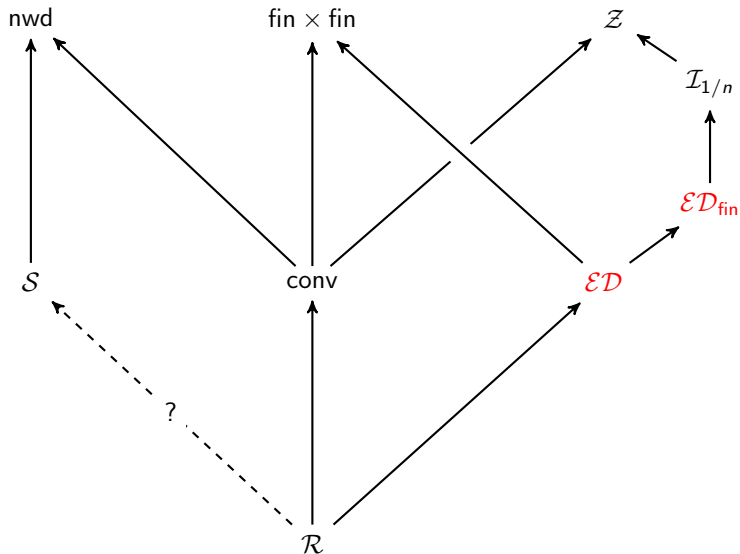
$\text{fin} \times \text{fin} = \{A \subseteq \omega \times \omega : \{n \in \omega : \{m \in \omega : (n, m) \in A\} \text{ is infinite}\} \text{ is finite}\}$

- An ultrafilter \mathcal{U} is a **P-point** iff $\text{fin} \times \text{fin} \not\leq_K \mathcal{U}^*$
- $\mathcal{I}(\mathcal{A}) \leq_K \text{fin} \times \text{fin}$ for every MAD family \mathcal{A} ,
- (Laczkovich-Reclaw '09) For Borel ideal \mathcal{I} , $\text{fin} \times \text{fin} \not\leq_K \mathcal{I}$ iff \mathcal{I} and \mathcal{I}^* can be separated (in $\mathcal{P}(\omega)$) by an F_σ -set.
- (Solecki '00) Every $F_{\sigma\delta}$ -ideal can be separated from its dual by an F_σ -set.
- No $F_{\sigma\delta}$ -ideal is Katětov above $\text{fin} \times \text{fin}$.
- (Kwela '21) There is an ideal \mathcal{I} which cannot be extended to an $F_{\sigma\delta}$ -ideal, yet $\text{fin} \times \text{fin} \not\leq_K \mathcal{I}$.

Problem

Let \mathcal{I} be a Borel ideal. Can \mathcal{I} be extended to an $F_{\sigma\delta}$ -ideal or $\text{fin} \times \text{fin} \not\leq_K \mathcal{I} \upharpoonright X$ for some $X \in \mathcal{I}^+$?

The eventually different ideals



The eventually different ideals

$$\mathcal{ED} = \{A \subseteq \omega \times \omega : \exists n \in \omega \forall m \geq n |\{k \in \omega : (m, k) \in A\}| < n\}$$

and

$$\mathcal{ED}_{fin} = \mathcal{ED} \upharpoonright \Delta \text{ where } \Delta = \{(m, n) : n \leq m\}.$$

- $\mathcal{ED} \not\leq_K \mathcal{I}$ iff every partition of ω into sets in \mathcal{I} has a positive selector.
- $\mathcal{ED}_{fin} \not\leq_{KB} \mathcal{I}$ iff every partition of ω into finite sets has a positive selector.
- For a Borel ideal \mathcal{I} , $\mathcal{ED}_{fin} \leq_{KB} \mathcal{I}$ iff \mathcal{I} is ω -hitting i.e. for every sequence $\{A_n : n \in \omega\}$ of infinite subsets of ω there is an $I \in \mathcal{I}$ such that $|A_n \cap I| = \omega$ for all $n \in \omega$.
- $\forall X \in \mathcal{I}^+ \mathcal{ED} \not\leq_K \mathcal{I} \upharpoonright X$ iff $\mathcal{I}^+ \rightarrow (\omega, I^+)_2^2$.

The Category dichotomy

Theorem (H. '17)

Given a Borel ideal \mathcal{I} , either $\mathcal{I} \leq_K \text{nwd}$ or $\exists X \in \mathcal{I}^+ \ \mathcal{E}\mathcal{D} \leq_K \mathcal{I} \upharpoonright X$.

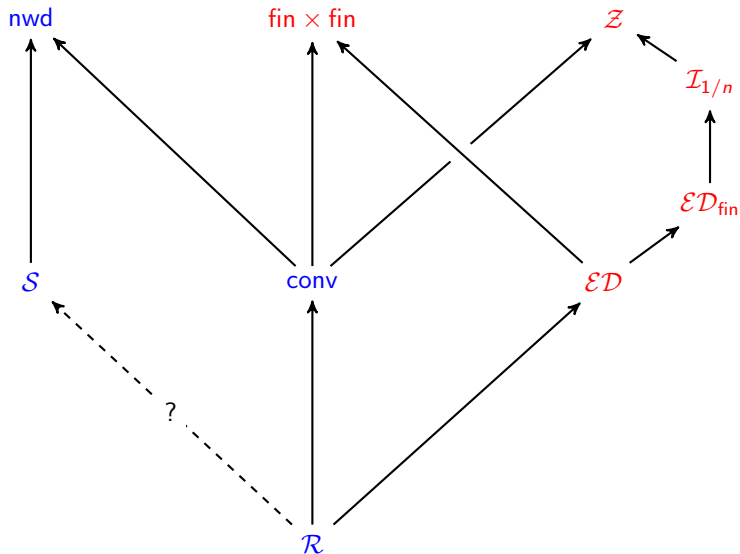
Corollary (H.)

Given a Borel ideal \mathcal{I} , either $\mathcal{I} \leq_K \text{nwd}$ or $\exists X \in \mathcal{I}^+ \ \text{fin} \times \text{fin} \leq_K \mathcal{I} \upharpoonright X$
or $\exists X \in \mathcal{I}^+ \ \mathcal{E}\mathcal{D}_{\text{fin}} \leq_{KB} \mathcal{I} \upharpoonright X$.

Problem

Is there (in ZFC) an ideal not satisfying the Category dichotomy?

The Category dichotomy



No minimal tall Borel ideal

Theorem (Grebik-H. '20)

There is no tall analytic ideal \mathcal{J} Katětov below all tall F_σ -ideals.

Corollary (Grebik-H. '20)

There is no Katětov-minimal tall Borel ideal.

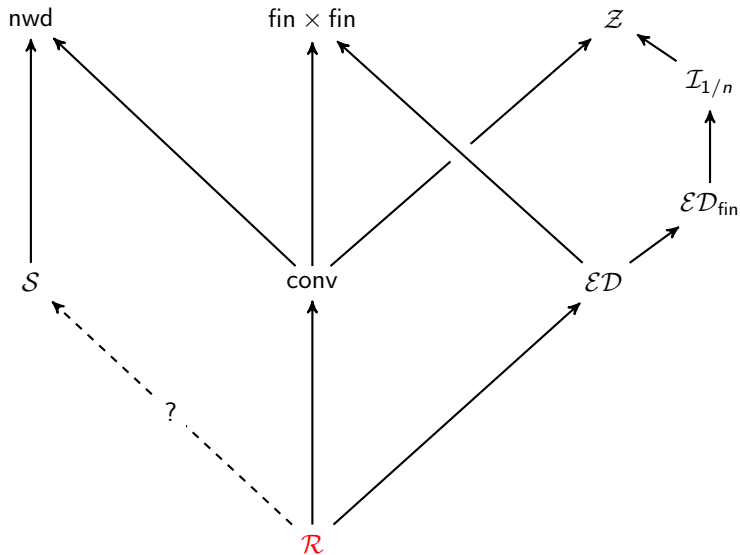
The proof is “non-constructive”.

Problem (Uzcategui)

Is there for every tall Borel ideal a Borel function $\varphi : [\omega]^\omega \rightarrow \mathcal{I} \cap [\omega]^\omega$ such that $\varphi(A) \subseteq A$ for every infinite $A \subseteq \omega$.

- If the answer is YES, there is a constructive proof.
- For a Borel ideal \mathcal{I} , there is a continuous such φ iff $\mathcal{ED}_{fin} \leq_{KB} \mathcal{I}$.

The ideal \mathcal{R}



Locally minimal ideals?

Problem

Is there a tall Borel ideal \mathcal{J} such that for any tall Borel ideal \mathcal{I} there is a \mathcal{I} -positive set X such that $\mathcal{J} \leq_K \mathcal{I} \upharpoonright X$? Call such an \mathcal{J} **locally minimal**.

\mathcal{R} = the ideal generated by cliques and free sets in the Random graph

- $\mathcal{R} \not\leq_K \mathcal{I}$ iff $\omega \rightarrow (\mathcal{I}^+)_2^2$, and
- \mathcal{R} is locally minimal iff $\mathcal{I}^+ \not\rightarrow (\mathcal{I}^+)_2^2$.

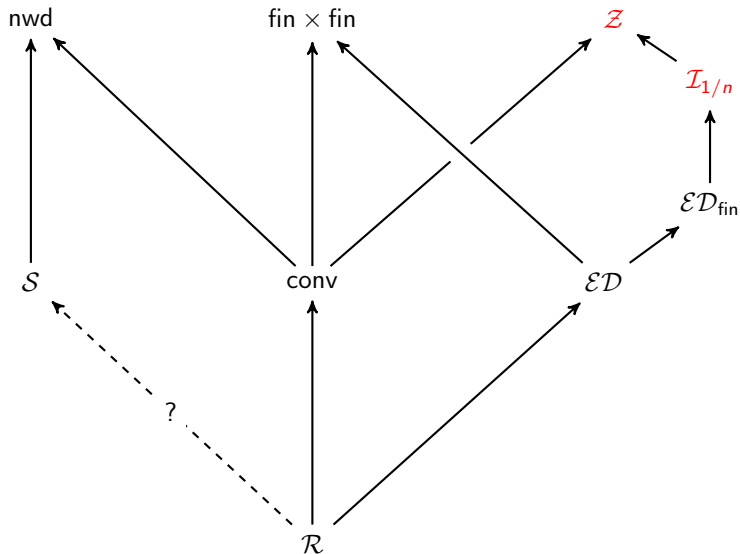
Problem

Is there a tall Borel (analytic) ideal \mathcal{I} such that $\mathcal{I}^+ \rightarrow (\mathcal{I}^+)_2^2$?

- (H.-Meza-Thuemmel-Uzcategui '17) There are no such Borel ideals for which $\mathcal{P}(\omega)/\mathcal{I}$ is proper,
- (H.-Meza-Thuemmel-Uzcategui '17) There are no such F_σ -ideals
- (H.-Meza-Thuemmel-Uzcategui '17) There is a co-analytic ideal \mathcal{I} such that $\mathcal{I}^+ \rightarrow (\mathcal{I}^+)_2^2$.

- 1 (Solecki '96) Every analytic P-ideal $\mathcal{I} = \text{Exh}(\varphi) = \{A \subseteq \omega : \lim_{n \rightarrow \infty} \varphi(A \setminus n) = 0\}$, for some lscs submeasure φ .
- 2 $\mathcal{ED}_{fin} \leq_K \mathcal{I}$ for every analytic P-ideal \mathcal{I} ,
- 3 Every tall analytic P-ideal contains a tall **summable** ideal $\mathcal{I}_f = \{A \subseteq \omega : \sum_{n \in A} f(n) < \infty\}$.
- 4 (Sakai '16) There is a Katětov largest analytic P-ideal. Call it \mathcal{P}_{max} .
- 5 (Sakai '16) Moreover, all F_σ -ideals are below \mathcal{P}_{max} in the Katětov order.

Analytic P-ideals



$$\mathcal{Z} = \{A \subseteq \omega : \lim_{n \rightarrow \infty} \frac{|A \cap n|}{n} = 0\}$$

Let $\Omega = \{U \in \text{Clop}(2^\omega) : \mu(U) = \frac{1}{2}\}$.

$$\mathcal{S} = \{A \subseteq \Omega : \exists F \in [2^\omega]^{<\omega} \forall U \in A \ U \cap F \neq \emptyset\}$$

Theorem (H. '17)

Given an analytic P-ideal \mathcal{I} , either $\mathcal{I} \leq_K \mathcal{Z}$ or $\exists X \in \mathcal{I}^+ \ \mathcal{S} \leq_K \mathcal{I} \upharpoonright X$.

- 1 (Solecki '00) $\exists X \in \mathcal{I}^+ \ \mathcal{S} \leq_K \mathcal{I} \upharpoonright X$ iff \mathcal{I} fails to satisfy **Fatou's Lemma**, equiv. has the **Fubini property**, i.e. $\forall \varepsilon > 0 \ \forall A \subseteq \omega \times 2^\omega$ Borel $(\{n < \omega : \mu(A_n) > \varepsilon\} \in \mathcal{I}^+ \Rightarrow \lambda^*(\{x \in 2^\omega : A^x \in \mathcal{I}^+\}) \geq \varepsilon)$.
- 2 \mathcal{Z} is the Katětov largest Fubini analytic P-ideal.

$$\mathcal{S} = \{A \subseteq \Omega : \exists F \in [2^\omega]^{<\omega} \forall U \in A U \cap F \neq \emptyset\},$$

where $\Omega = \{U \in \text{Clop}(2^\omega) : \mu(U) = \frac{1}{2}\}$.

Problem

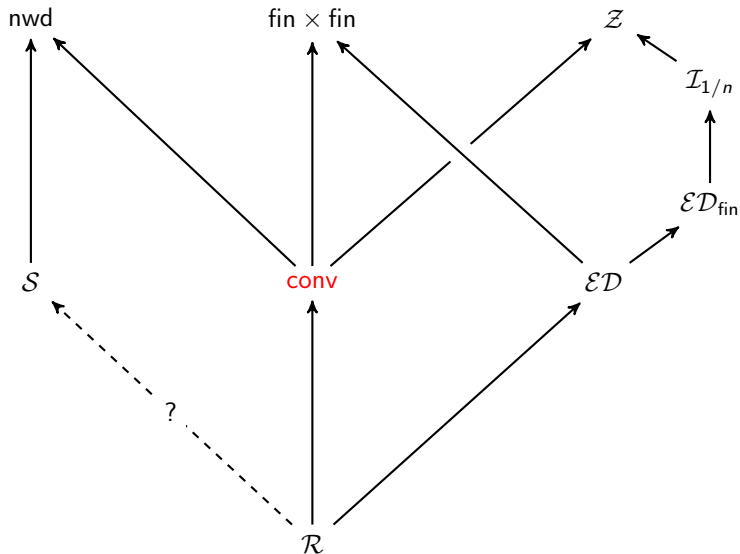
Is $\mathcal{R} \leq \mathcal{S}$? Equivalently, does $\omega \rightarrow (\mathcal{S}^+)_2^2$?

Problem

Given a $k > 1$, is there an $N > 1$ such that

$\forall c : [[2N]^N]^2 \rightarrow 2 \exists H \subseteq [2N]^N$ c -hom. $\forall A \in [2N]^k \exists B \in H B \cap A = \emptyset$?

The ideal conv



The ideal conv and extensions of ideals

$$\text{conv} = \{A \subseteq \mathbb{Q} \cap [0, 1] : \overline{A} \setminus \text{Iso}(A) | < \omega\}.$$

- ① $\text{conv} \leq_K \mathcal{I}$ iff
 $\exists \{A_n : n \in \omega\} \subseteq [\omega]^\omega \forall X \in \mathcal{I}^+ \exists n \in \omega |A_n \cap X| = |X \setminus A_n| = \omega.$

Problem

Can a Borel ideal \mathcal{I} be extended to an F_σ -ideal if and only if $\text{conv} \not\leq_K \mathcal{I}$?

- ① (H.-Meza-Uzcategui-Thuemmel '17) YES if, moreover, $\mathcal{P}(\omega)/\mathcal{I}$ is proper.
- ② (Laflamme '92) Any ideal that can be extended to an F_σ -ideal can be destroyed by an ω^ω -bounding forcing.

Problem

Can every $F_{\sigma\delta}$ -ideal be destroyed by a proper forcing not adding a dominating real? Can \mathcal{Z} ? \mathcal{P}_{\max} ?

Definition (Baumgartner '95).

Let \mathcal{U} be an ultrafilter and \mathcal{I} an ideal on ω . We say that \mathcal{U} is an **\mathcal{I} -ultrafilter** if $\forall f : \omega \rightarrow \omega \exists U \in \mathcal{U} f[U] \in \mathcal{I}$.

... equivalent to $\mathcal{I} \not\leq_K \mathcal{U}^*$.

An ultrafilter \mathcal{U} is

- selective iff $\mathcal{ED} \not\leq_K \mathcal{U}^*$ iff $\mathcal{R} \not\leq_K \mathcal{U}^*$
- a P-point iff $\text{fin} \times \text{fin} \not\leq_K \mathcal{U}^*$ iff $\text{conv} \not\leq_K \mathcal{U}^*$
- a Q-point iff $\mathcal{ED}_{\text{fin}} \not\leq_{KB} \mathcal{U}^*$,
- rapid iff $\mathcal{I} \not\leq_{KB} \mathcal{U}^*$ for any analytic P-ideal,
- Fubini (or Fatou or property (M)) iff $\mathcal{S} \not\leq_K \mathcal{U}^*$
- Hausdorff iff $\mathcal{G}_{FC} \not\leq_K \mathcal{U}^*$, ...

How trivial can (this) classification of ultrafilters be?

- (Isbell '65) Is it consistent that all ultrafilters have the same Tukey type?
- (Miller '80) Is it consistent that there are no P-points and no Q-points?
- Is it consistent that all ultrafilters* are in the Katětov order above all Borel ideals?

How trivial can this classification of ultrafilters be?

- (Pospíšil '39) There is an analytic ideal \mathcal{I} and an ultrafilter \mathcal{U} such that $\mathcal{I} \not\leq_K \mathcal{U}^*$.
 - (Sakai '18) There is a Borel ideal \mathcal{I} and an ultrafilter \mathcal{U} such that $\mathcal{I} \not\leq_K \mathcal{U}^*$.
 - (Guzmán-H. '20) There is an $F_{\sigma\delta}$ -ideal \mathcal{I} and an ultrafilter \mathcal{U} such that $\mathcal{I} \not\leq_K \mathcal{U}^*$.
 - In fact, the \mathcal{I} -ultrafilter \mathcal{U} in the statement exists **generically**, i.e. every filter of character $< \mathfrak{c}$ can be extended to an \mathcal{I} -ultrafilter. This result is sharp:
 - (Guzmán-H. '20) **It is consistent that for every $F_{\sigma\delta}$ -ideal \mathcal{I} there is a filter of character $< \mathfrak{c}$ which cannot be extended to an \mathcal{I} -ultrafilter.**
-
- (Cancino '21) **It is consistent that $\mathcal{I} \leq_{KB} \mathcal{U}^*$ for every F_σ -ideal \mathcal{I} and every ultrafilter \mathcal{U} .**

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How trivial can this classification of ultrafilters be?

Theorem (Cancino '21)

It is consistent that $\mathcal{I} \leq_{KB} \mathcal{U}^$ for every F_σ -ideal \mathcal{I} and ultrafilter \mathcal{U} .*

In the model:

- There are no Hausdorff ultrafilters ((Choquet '69) \mathcal{U} is **Hausdorff** if $\forall f, g \in \omega^\omega \exists U \in \mathcal{U} f \upharpoonright U = g \upharpoonright U$ or $f[U] \cap g[U] = \emptyset$.)
- There are no Fubini ultrafilters, but
- NCF holds in the model, so there are P-points.

What about $F_{\sigma\delta}$ -ideals?

- Is there an \mathcal{I} -ultrafilter for some $F_{\sigma\delta}$ -ideal \mathcal{I} ?
- Is there a \mathcal{Z} -ultrafilter?
 - (Gryzlov '86) There is (in ZFC) an ultrafilter \mathcal{U} such that for every **one-to-one** $f : \omega \rightarrow \omega$ there is a $U \in \mathcal{U}$ with $f[U] \in \mathcal{Z}$.
 - Assuming $\mathfrak{c} \leq \omega_2$ there is an ultrafilter \mathcal{U} such that for every **finite-to-one** $f : \omega \rightarrow \omega$ there is a $U \in \mathcal{U}$ with $f[U] \in \mathcal{Z}$.
- (Miller '09) Is there a Sacks-indestructible ultrafilter?
 - (Chodounský-Guzmán-H. '21) If \mathcal{U} is Sacks-indestructible ultrafilter then \mathcal{U} is a \mathcal{Z} -ultrafilter.

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- (Miller '09) Is there a Sacks-indestructible ultrafilter?
 - (Chodounský-Guzmán-H. '21) If \mathcal{U} is Sacks-indestructible ultrafilter then \mathcal{U} is a \mathcal{Z} -ultrafilter.

Many of the same questions one asks about ultrafilters can be asked about MAD families

- The ideals generated by MAD families are Katětov below $fin \times fin$.
- The ideals generated by MAD families are co-initial among tall ideals.
- (H.–Garcia-Ferreira '01) There are \mathfrak{c} -sized antichains and \mathfrak{c}^+ -length decreasing chains of MAD families below any tall ideal.

Katětov maximal MAD families

A MAD family \mathcal{A} is **weakly tight** if given a sequence $\{X_n : n \in \omega\} \subseteq \mathcal{I}^+(\mathcal{A})$ there is an $A \in \mathcal{A}$ such that $A \cap X_n$ is infinite for infinitely many $n \in \omega$.

- (H.–Garcia-Ferreira '01) A weakly tight MAD family \mathcal{A} which is **K-uniform** (i.e K-equivalent to all restrictions) is **Katětov maximal**.
- (Raghavan-Steprāns '12) Assuming $\mathfrak{s} \leq \mathfrak{b}$ there is a weakly tight MAD family.
- (Arciga-H.-Martínez '13) Con(There is a Katětov maximal MAD family).
- (Guzmán '21) The existence of a Katětov-maximal MAD family follows from $non(\mathcal{M}) = \mathfrak{c}$, and $\diamond(\mathfrak{d})$.
- **Is there a weakly tight MAD family in ZFC?**
- **Is it consistent that there are no K-maximal (K-uniform) MAD families?**
- There **shouldn't** be a Katětov-largest MAD family. We can only confirm this assuming (Guzmán '21: $\mathfrak{s} \leq \mathfrak{b}$ and a “version of” $\diamond(\mathfrak{b})$).

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Thank you for your attention!