

The automorphism group of the Fraïssé limit of finite Heyting algebras

Kentarô Yamamoto

Institute of Informatics, Czech Academy of Sciences

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Automorphism groups of ultrahomogeneous structures I

A structure L is **ultrahomogeneous** if every isomorphism between substructures of L extends to an automorphism of L .

Example

- $(\mathbb{Q}, <)$.
- The countable atomless Boolean algebra B_∞ .
- The countable random distributive lattice D_∞ .

For a countable ultrahomogeneous L , one is interested in the Polish group $\text{Aut}(L)$.

Example

- The topology of $\text{Aut}(\mathbb{Q}, <)$, $\text{Aut}(B_\infty)$, $\text{Aut}(D_\infty)$ can be recovered from their abstract group structure (**small index property**) (Truss 1989; Anderson 1958; Droste & Macpherson 2000).
- $\text{Aut}(B_\infty)$ is simple (Anderson 1958).
- Each of $\text{Aut}(\mathbb{Q}, <)$, $\text{Aut}(D_\infty)$ has exactly 3 proper nontrivial normal subgroups (e.g., Higman 1954; Droste & Macpherson 2000).

Many concrete studies of the topological group $\text{Aut}(L')$ for a countable ultrahomogeneous L' involve a **uniformly locally finite** and thus ω -categorical L' .

My structure L will not be uniformly locally finite, and it might be similar enough to B_∞ . It comes from finite Heyting algebras.

The finite Heyting algebras form a Fraïssé class

Theorem (Maksimova 1977)

HA, the theory of Heyting algebras, has the *Amalgamation Property*.

So does \mathcal{K} , the class of finite nontrivial Heyting algebras.

\mathcal{K} obviously has the *Joint Embedding Property*. Therefore it is a *Fraïssé class*.

There is a unique countable structure L , the *Fraïssé limit* of \mathcal{K} , whose substructures are exactly those in \mathcal{K} .

Theorem (Fraïssé 1954)

A countable structure is ultrahomogeneous if and only if it is the Fraïssé limit of some countable Fraïssé class.

L is locally finite, but not *uniformly locally finite*: there is no bound on the size of 1-generated substructures.

Therefore, L is *not* ω -categorical.

Why does one care about L ?

Theorem (Pitts; Ghilardi & Zawadowski; van Gool & Reggio)

The theory HA has a model completion. In other words, IPC admits the *uniform interpolation*: if $p \vdash q$, there is r s.t.:

- variables in r occur in both p and q ;
- $p \vdash r \vdash q$,
- r does not depend on q .

L is the prime model of the model completion.

L was used to derive an “axiomatization” of the model completion (Darnière 2018).

Is $\text{Aut}(L)$ a new topological group?

It would be futile to study $\text{Aut}(L)$ if the topological group turned out to be topologically isomorphic to $\text{Aut}(L')$ for an ω -categorical Fraïssé limit L' .

One might think that that is impossible as:

ω -categorical structures are determined up to biinterpretability by their automorphism groups.

Recall that the technical statement of that result is:

Fact

If M and N are ω -categorical, then $\text{Aut}(M) \cong \text{Aut}(N) \iff M$ and N are biinterpretable.

Lemma (Y.)

Assume the following:

- L is a countable structure
- L is strongly 2-homogeneous (e.g., ultrahomogeneous & QE)
- $p \in S_1^L(\emptyset)$
- M is an ω -categorical structure in a countable language
- for every $n_0 < \omega$ there exist $m < \omega$ and a set X of m -types over \emptyset realized in L such that for every $q(x_1, \dots, x_m) \in X$ and $i < m$ we have $p(x_i) \subseteq q(x_1, \dots, x_m)$ and $f_M(n_0 m) < |X|$.

where $f_M(n) := |S_n^M(\emptyset)|$. Then $\text{Aut}(L)$ is **not** topologically isomorphic to $\text{Aut}(M)$.

This can be proved by adapting the aforementioned Fact.

$\text{Aut}(L)$ is probably a new topological group

Corollary (Y.)

The topological group $\text{Aut}(L)$ is not realized as the automorphism group of any of the following structures:

- *the countable atomless Boolean algebra B ,*
- *the Fraïssé limit D of finite distributive lattices, or*
- *Fraïssé limits in finite relational languages.*

Moreover, since $\text{Aut}(B)$ or $\text{Aut}(D)$ have the small index property, $\text{Aut}(L)$ is not isomorphic to $\text{Aut}(B)$ or $\text{Aut}(D)$ as abstract groups.

Proof.

- 1 $\text{Th}(L)$, $\text{Th}(B)$, and $\text{Th}(D)$ eliminate quantifiers.
- 2 n -types are essentially isomorphism types of n -generated subalgebras.
- 3 f_D grows asymptotically faster than f_B .
- 4 Use free constructions in the variety of Gödel algebras to obtain types.
- 5 Use a result on a spectrum of Gödel algebras (Valota 2019) and in enumerative combinatorics (Sklar 1952) to obtain asymptotic dominance.
- 6 It can be checked the proof works for any such M by the evaluation of f_M (Cameron 1990).



Problem

Can one make $|X_2|$ make infinite?

If so, $\text{Aut}(L)$ is not **Roelcke precompact**, whence $\text{Aut}(L) \not\cong \text{Aut}(M)$ for **any** ultrahomogeneous ω -categorical M (Rosendal 2009).

An easy fact on $\text{Aut}(L)$

Proposition (Y.)

$\text{Aut}(L)$ is not locally compact.

This is true of uniformly locally finite ultrahomogeneous structures.

Definition

For a topological group G , a **G -flow** is a continuous action on a compactum.

Definition

A topological group G is **amenable** if every G -flow has a ρ -invariant Borel probability measure.

Our next goal is to study the amenability of $\text{Aut}(L)$.

A Fraïssé class controls the automorphism group of its limit

There are several facts relating the combinatorics of a Fraïssé class \mathcal{K}' and the topological properties of the automorphism group $\text{Aut}(L')$ of its Fraïssé limit L' :

Kechris, Pestov, & Todorčević 2005 \mathcal{K}' has the **Ramsey property** \implies $\text{Aut}(L')$ is extremely amenable, i.e., its universal minimal flow is a singleton.

Kechris & Rosendal 2007 \mathcal{K}' has the **Hrushovski property**, i.e., for every $A \in \mathcal{K}'$ there is $B \in \mathcal{K}'$ such that every partial isomorphism of A extends to an automorphism of $B \implies \text{Aut}(L')$ is **amenable**, i.e., every $\text{Aut}(L')$ -flow has an invariant Borel probability measure.

⋮

Lemma (Kechris & Sokić 2012)

For a Fraïssé class \mathcal{C} , $\text{Aut}(\lim_{\text{Fraïssé}} \mathcal{C})$ is **not** amenable if there are:

- a reasonable order expansion \mathcal{C}^* closed under substructures,
- $A, A' \in \mathcal{C}$,
- a family of embeddings $\{\iota_{<} : A \hookrightarrow A' \mid (A, <) \in \mathcal{C}^*\}$
- an admissible ordering $<'$ on A'

s.t.:

- 1 For **no** admissible $<$ on A , $\iota_{<}$ embeds $(A, <) \hookrightarrow (A', <')$
- 2 For every distinct admissible $<_1, <_2$ on A and every admissible $<''$ on A' , $\iota_{<_i}$ **fails** to embed $(A, <_i) \hookrightarrow (A', <'')$ for one of $i = 1, 2$.
- 3 $\text{Aut}(A')$ acts transitively on the set of admissible orderings on A' .

A reasonable order expansion of \mathcal{K}

Definition

- H : a finite nondegenerate Heyting algebra
- $I(b)$: the set of join-prime elements below or equal to $b \in H$
- \prec : any linear extension of the induced partial order on $I(1)$

We define a total order \prec^{alex} on H extending \prec :

$$a \prec^{\text{alex}} a' \iff \max_{\prec} (I(a) \triangle I(a')) \in I(a').$$

We call this a **natural ordering** on H .

Let \mathcal{K}^* be the class of finite nondegenerate Heyting algebras expanded with natural orderings on them.

Lemma (Y.)

\mathcal{K}^* is a reasonable order expansion of \mathcal{K} closed under substructures.

$\text{Aut}(L)$ is not amenable

Corollary (Y.)

$\text{Aut}(L)$ is not amenable.

Proof.

① $A := F_{ab}^{\text{BA}}$ and $B := F_{xyz}^{\text{BA}}$.

② For $<_1$ which extends $a <_1 b$:

$$\pi_{<_1}(a) := x,$$

$$\pi_{<_1}(b) := y \vee z$$

③ For $<_2$ which extends $b <_2 a$:

$$\pi_{<_2}(a) := y,$$

$$\pi_{<_2}(b) := x \vee z$$

④ Let $<'$ be defined as extending $z <' y <' x$.

These satisfy the hypotheses of the Lemma by Kechris and Sokić (who used these to show the non-amenableity of $\text{Aut}(B_\infty)$).



Definition

For a topological group G :

- 1 A G -flow is **minimal** if it contains no G -invariant compact nonempty proper subset.
- 2 $M(G)$, the **universal minimal flow** of G , is the G -flow universal wrt the homomorphisms between minimal G -flows.
- 3 G is **extremely amenable** if $M(G)$ is a singleton.

Theorem (Kechris-Pestov-Todorćević 2005)

For a Fraïssé class \mathcal{C} , TFAE:

- 1 $\text{Aut}(\lim_{\text{Fraïssé}} \mathcal{C})$ is extremely amenable.
- 2 \mathcal{C} has the **Ramsey property**: for every $k < \omega$ and $A, B \in \mathcal{C}$, there exists $C \in \mathcal{C}$ s.t. for every k -coloring of copies of A in C , there is a copy of B in C in which all copies of A are monochromatic.

\mathcal{K} can't have this property, but an order expansion of it might.

This is the case with the class of finite Boolean algebras.

If the order extension is reasonable and has the **order property**, then we can moreover calculate $M(\text{Aut}(\lim_{\text{Fraïssé}}(\mathcal{K})))$.

Recipe for calculating minimal universal flows

For the class \mathcal{C} of finite Boolean algebras or the class \mathcal{C} of finite dimensional vector spaces for a fixed finite field:

- 1 Consider a reasonable **order-forgetful** Fraïssé order expansion \mathcal{C}^* :

$$(A, <) \cong (A', <') \in \mathcal{C}^* \iff A \cong A'.$$

- 2 It will trivially have the order property.
- 3 If \mathcal{C} has the Ramsey property, so will \mathcal{C}^* . (Kechris-Pestov-Todorčević 2005).

An obstacle to an easy results on extreme amenability

Proposition (Y.)

\mathcal{K} does not have a Fraïssé order expansion that is order-forgetful.

Proof.

- 1 Consider such an order expansion \mathcal{K}^* .
- 2 $\forall H \in \mathcal{K}$, $\text{Aut}(H)$ acts on the set of binary relations on H .
- 3 The set of admissible orderings on it is a single orbit.
- 4 Let H' be the dual of $a \left\{ \begin{smallmatrix} \circ \\ \circ \end{smallmatrix} \right\} b$ ($a, b \in H'$).
- 5 Let $H \hookrightarrow H'$ be dual to the p -morphism that collapses a .
- 6 H is rigid; a, b are conjugates by an automorphism ϕ on H' .
- 7 Let \prec be admissible on H' with $a \prec b$.
- 8 \mathcal{K}^* is a Fraïssé class, so $\prec \cap H^2, \prec^\phi \cap H^2$ are admissible on H' .
- 9 They are distinct as $b \prec^\phi a$.
- 10 They cannot belong to the same orbit as H is rigid. □

Toward simplicity: Stronger variants of the AP

\mathcal{K} also has the strong amalgamation property.

It does **not** has the free amalgamation property.

It has the **superamalgamation property**: for every diagram $A_1 \leftarrow A_0 \rightarrow A_2$ of inclusion maps in \mathcal{K} , the AP of \mathcal{K} is witnessed by a diagram $A_1 \hookrightarrow A \leftarrow A_2$ of inclusion maps s.t. $A_1 \downarrow_{A_0} A_2$, where

$$S \downarrow_U T \iff \forall a \in S \forall b \in T \left\{ \begin{array}{l} a \leq b \implies \exists c \in U a \leq c \leq b \\ b \leq a \implies \exists c \in U b \leq c \leq a \end{array} \right\}$$

for $S, T, U \subseteq A$.

$$\text{Free AP} \xrightarrow{\text{vacuously}} \text{Super-AP} \implies \text{Strong AP} \implies \text{AP}$$

The superamalgamation property characterizes propositional logics admitting the interpolation theorem.

Our new goal: to derive the simplicity of $\text{Aut}(L)$ from the super-AP of \mathcal{K} .

Theorem (Tent & Ziegler)

If M is countable and has a *stationary independence relation*, and $g \in \text{Aut}(M)$ *moving almost maximally*, then any element of $\text{Aut}(M)$ is the product of 16 conjugates of g .

Stationary independence relation

Definition (Tent & Ziegler)

A ternary relation for finite subsets of M is a **stationary independence relation** if

Invariance Whether $A \downarrow_C B$ or not depends only on the “type” of ABC .

Monotonicity $A \downarrow_B CD \implies A \downarrow_B C, A \downarrow_{BC} D$.

Transitivity $A \downarrow_B C, A \downarrow_{BC} D \implies A \downarrow_B D$.

Symmetry $A \downarrow_B C \implies C \downarrow_B A$.

Existence If p is a “type” over B , and C is a finite set, then there is $\bar{a} \models p$ s.t. $\bar{a} \downarrow_C B$.

Stationarity If \bar{a}_0 and \bar{a}_1 have the same “type” over B , and $\bar{a}_i \downarrow_C B$ ($i = 0, 1$), then \bar{a}_0 and \bar{a}_1 have the same “type” over BC .

Two ternary relations on subsets of L

① $A \perp_C B \iff \langle AC \rangle \otimes_{\langle C \rangle} \langle BC \rangle \cong \langle ABC \rangle.$

This satisfies Invariance, Symmetry, Existence, & Stationarity (but not Monotonicity or Transitivity).

This is true in general for \otimes witnessing the AP canonically and functorially.

② \downarrow coming from the super-AP.

This satisfies Invariance, Monotonicity, Transitivity, Symmetry, & Existence (but not Stationarity).

Upon examining proofs in Tent & Ziegler:

Theorem

If M has an “independence” relation satisfying Existence and Stationarity, then $\text{Aut}(M)$ has a dense conjugacy class.

Theorem

Suppose:

- *$\text{Aut}(M)$ has a dense conjugacy class;*
- *M has an “independence” relation satisfying Invariance, Monotonicity, Transitivity, Symmetry, & Existence;*
- *$g \in \text{Aut}(M)$ moves almost maximally.*

Then, any element of $\text{Aut}(M)$ is the product of 16 conjugates of g .

Automorphisms moving almost maximally I

Definition

$g : M \xrightarrow{\sim} M$ **moves almost maximally** with respect to \downarrow

\iff

every realized 1-type over a finite set B has a realization a s.t. $a \downarrow_B g(a)$.

Lemma (Y.)

- M countable & ultrahomogeneous
- $\text{Age}(M)$ has the super-AP

Then there is $g : M \xrightarrow{\sim} M$ moving almost maximally with respect to \downarrow coming from the super-AP.

This is proved by a back-and-forth construction.

Corollary (Y.)

$\text{Aut}(L)$ is simple.

This method appears to be applicable to other classes of lattices with the superamalgamation property.

Open problems

Problem

Is $\text{Aut}(L)$ Roelcke precompact?

Problem

*Does there exist a Fraïssé order expansion of \mathcal{K} that has the **order property**?*

Problem

Can the Ramsey property of \mathcal{K}^ or that of another order expansion of \mathcal{K} be proved directly?*

Problem

Identify a pair of a property P of a logic and a property P' of a topological group such that $\text{Aut}(L)$ has P' whenever L is the Fraïssé limit of finite (or f.g.) algebraic models of a logic satisfying P .

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