# The Fell compactification of a poset

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**Abstract** Each poset P naturally forms a locally compact  $T_0$ -space in its Alexandroff topology. We may therefore consider the hit-or-miss topology on the closed sets of P and the associated Fell compactification of P. Here we give a purely order-theoretic description of the Fell compactification of P. We note that the Fell compactification naturally gives rise to a stable compactification of P, and place this in the general theory of stable compactifications. When P is a chain, we show that this stable compactification is simply the sobrification of P, and is the least stable compactification of P.

## 1 Introduction

Say nice things about Hung, then transition into his interests in hit-or-miss topology, etc. Discuss the history and some connections, then transition to the Fell compactification. Connect with stable compactifications and Nachbin compactifications.

#### 2 Preliminaries

Here we consider topological spaces that are not necessarily Hausdorff. A *compact space* is the one in which every open cover has a finite subcover, and a *locally compact space* is one in which compact sets form a neighborhood base.

In a topological space, a closed set is *irreducible* if it cannot be written as the union of two proper closed subsets. A space *X* is *sober* if each irreducible closed set

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is the closure of a unique singleton. Sober spaces are  $T_0$ . A set that is an intersection of open sets is *saturated*.

**Definition 2.1.** (see, e.g., [3, Def. VI-6.7]) A space is *stably compact* if it is compact, locally compact, sober, and the intersection of any family of compact saturated sets is compact.

For a stably compact space  $(X, \tau)$ , the *co-compact topology*  $\tau^k$  on X has as opens the complements of compact saturated sets, and the *patch topology*  $\pi = \tau \vee \tau^k$  is the smallest topology on X containing the original and co-compact topologies.

#### **Definition 2.2.**

- 1. An *ordered topological space*  $(X, \pi, \leq)$  is a set X with a partial ordering  $\leq$  and topology  $\pi$ .
- 2. An ordered topological space  $(X, \pi, \leq)$  is a *Nachbin space* if  $\pi$  is compact and  $\leq$  is closed in the product topology.

Remark 2.3. The study of ordered topological spaces in general, and of Nachbin spaces in particular was pioneered by Nachbin in the 1940s (see [6]); the name Nachbin space appears to originate from [1, Def. 2.5].

Every Nacbin space is Hausdorff. A Nachbin space has an *upper topology*  $\pi_u$ , and a *lower topology*  $\pi_\ell$ . To define these, we recall that a subset S of a poset is an *upset* if  $x \in S$  and  $x \le y$  implies  $y \in S$ , and is a *downset* if  $x \in S$  and  $y \le x$  implies  $y \in S$ . Then  $\pi_U$  is defined as open upsets of  $(X, \pi, \le)$  and  $\pi_\ell$  is defined as open downsets. Both  $(X, \pi_u)$  and  $(X, \pi_\ell)$  are stably compact spaces. We use  $\uparrow S$  for the smallest upset containing S,  $\downarrow S$  for the smallest downset containing S, and for  $x \in S$  we use  $\uparrow x$  for  $\uparrow \{x\}$  and  $\downarrow x$  for  $\downarrow \{x\}$ .

The *specialization order* of a topological space is defined by setting  $x \le y$  if x is in the closure of y. This is a partial ordering on X iff X is  $T_0$ . For a stably compact space  $(X, \tau)$  with specialization order  $\le$  and patch topology  $\pi = \tau \lor \tau^k$ , we have that  $(X, \pi, \le)$  is a Nachbin space with upper topology  $\tau$  and lower topology  $\tau^k$ . This provides a 1-1 correspondence between stably compact spaces and Nachbin spaces (see, e.g., [3, Sec. VI-6]).

We next turn to the definition of the well-known hit-or-miss topology. For a topological space X, let  $\mathcal{O}(X)$  be the set of open sets,  $\mathscr{F}(X)$  the set of closed sets, and  $\mathscr{K}(X)$  the set of compact sets in X.

#### **Definition 2.4.** Let *X* be a topological space.

1. For  $S \subseteq X$ , define

$$\square_S = \{ F \in \mathscr{F}(X) \mid F \cap S = \varnothing \} \text{ and } \lozenge_S = \{ F \in \mathscr{F}(X) \mid F \cap S \neq \varnothing \}.$$

- 2. Let  $\tau_{\square}$  be the topology on  $\mathscr{F}(X)$  given by the subbasis  $\{ \lozenge_K \mid K \in \mathscr{K}(X) \}$ .
- 3. Let  $\tau_{\Diamond}$  be the topology on  $\mathscr{F}(X)$  given by the subbasis  $\{\Diamond_U \mid U \in \mathscr{O}(X)\}$ .
- 4. Let  $\pi = \tau_{\square} \vee \tau_{\lozenge}$ .

We call  $\tau_{\Diamond}$  the *hit topology*,  $\tau_{\Box}$  the *miss topology*, and  $\pi$  the *hit-or-miss topology*.

It is easily seen that for any collection  $\{S_i \mid i \in I\}$  of subsets of X, we have

$$\bigcap_{i\in I}\Box_{S_i}=\Box_{\bigcup_{i\in I}S_i} \text{ and } \bigcup_{i\in I}\Diamond_{S_i}=\Diamond_{\bigcup_{i\in I}S_i}.$$

Therefore, the subbasis for  $\tau_{\square}$  is actually a basis, and the hit-or-miss topology has a basis of sets of the form

$$\{\Box_K \cap \Diamond_{U_1} \cap \cdots \cap \Diamond_{U_n} \mid K \in \mathcal{K}(X) \text{ and } U_1, \dots, U_n \in \mathcal{O}(X)\}.$$

If X is locally compact, then the hit-or-miss topology  $\pi$  on  $\mathscr{F}(X)$  is compact Hausdorff [2, Thm. 1],  $(\mathscr{F}(X), \pi, \subseteq)$  is a Nachbin space [4, p. 57], the lower topology  $\pi_{\ell}$  of this Nachbin space is the hit topology  $\tau_{\Diamond}$ , and the upper topology  $\pi_{u}$  is the miss topology  $\tau_{\square}$ . Moreover, if X is compact Hausdorff, then it is easy to see that the hit-or-miss topology coincides with the Vietoris topology [5, Sec. III-4]. The next result is well-known.

**Proposition 2.5.** The map  $e: X \to \mathscr{F}(X)$  that sends x to its closure  $\overline{\{x\}}$  has the following properties.

- (1) e is 1-1 iff X is  $T_0$ .
- (2) If  $U \in \mathcal{O}(X)$ , then  $e^{-1}(\lozenge_U) = U$ ; hence e is continuous with respect to  $\tau_\lozenge$ .
- (3) If X is  $T_1$  and  $K \in \mathcal{K}(X)$ , then  $e^{-1}(\square_K) = X \setminus K$ ; hence if X is Hausdorff, then e is continuous with respect to  $\tau_{\square}$ .
- (4) If X is Hausdorff, then e is continuous with respect to  $\pi$ .

An *embedding* of a space X into a space Y is a 1-1 map  $e: X \to Y$  that is a homeomorphism from X to the image e(X) given the subspace topology from Y. Classically, a *compactification* of a space X is an embedding of X into a compact Hausdorff space Y where the image of X is dense in Y. Smyth [7] introduced stable compactifications to generalize the classical theory of compactifications to the setting of  $T_0$ -spaces. Using [1, Thm. 3.5] Smyth's definition can be formulated as follows.

**Definition 2.6.** A *stable compactification* of a  $T_0$ -space X is an embedding of X into a stably compact space Y where the image of X is dense in the patch topology of Y.

A related notion is that of an *order-compactification* of an ordered topological space  $(X, \pi, \leq)$ . This consists of a Nachbin space  $(Y, \pi, \leq)$  and a mapping  $e: X \to Y$  that is both a topological embedding and an order embedding.

**Definition 2.7.** (see, e.g., [4, p. 57]) For X a locally compact  $T_0$ -space, its *Fell compactification* H(X) is the closure of the image of X in the hit-or-miss topology of  $\mathscr{F}(X)$ .

Since X is locally compact, the hit-or-miss topology is compact Hausdorff, so the closed subset H(X) of  $\mathscr{F}(X)$  is a compact Hausdorff space. When X is a noncompact locally compact Hausdorff space,  $e:X\to H(X)$  is an embedding, and the Fell compactification is the one-point compactification of X (see [2, p. 475]). When X is non-Hausdorff,  $e:X\to H(X)$  is no longer an embedding. So in this setting the term Fell compactification is somewhat of a misnomer. However, there are two ways to rectify this, by altering the topology of either  $\mathscr{F}(X)$  or X.

**Proposition 2.8.** If X is locally compact  $T_0$ , then the Fell compactification H(X) with the restriction of the hit topology is a stable compactification of X.

*Proof.* Since X is locally compact,  $(\mathscr{F}(X), \pi, \subseteq)$  is a Nachbin space, and since H(X) is a closed subset, it naturally forms a Nachbin space as well. The upper topology of  $\mathscr{F}(X)$  is the hit topology  $\tau_{\Diamond}$ , and it follows that the restriction of  $\tau_{\Diamond}$  to H(X) is its upper topology. So under the restriction of  $\tau_{\Diamond}$  we have that H(X) is a stably compact space. By definition, H(X) is the closure of the image of X under the topology  $\pi$ , hence this image is dense in the patch topology of the stably compact space H(X).  $\square$ 

**Proposition 2.9.** Let  $(X, \tau)$  be a locally compact  $T_0$ -space,  $\leq$  its specialization order, and  $\sigma$  the smallest topology on X making  $e: X \to \mathscr{F}(X)$  continuous with respect to the hit-or-miss topology. Then  $\tau \subseteq \sigma$  and  $e: (X, \sigma, \leq) \to (H(X), \pi, \subseteq)$  is an order-compactification of  $(X, \sigma, \leq)$ .

*Proof.* By Proposition 2.5(2),  $\tau \subseteq \sigma$ . Also, since e is 1-1 by Proposition 2.5(1), e is a topological embedding of  $(X, \sigma)$  into  $(H(X), \pi)$ . Therefore,  $e: (X, \sigma) \to (H(X), \pi)$  is a compactification of  $(X, \sigma)$ . To see that it is an order-compactification, observe that  $e(x) \subseteq e(y)$  iff  $cl_{\tau}\{x\} \subseteq cl_{\tau}\{y\}$  iff  $\downarrow x \subseteq \downarrow y$  iff  $x \subseteq y$ .  $\square$ 

# 3 The Fell compactification of a poset

Throughout this section P is a poset with partial ordering  $\leq$ . The collection of upsets of P is closed under arbitrary intersections and arbitrary unions, and in particular forms a topology on P called the Alexandroff topology. We denote it  $\tau_A$ . Clearly the closed sets of  $\tau_A$  are the downsets of P. It is known [?], and easily seen, that  $\tau_A$  is  $T_0$  and that the specialization order on P given by  $\tau_A$  is the given partial ordering  $\leq$  of P. The following is easily seen.

#### **Proposition 3.1.**

# 4 The lattice of order-compactifications of a chain

Describe all order-compactifications of a chain by means of proximities; show there is always a least one, so it is a complete lattice. Give examples showing how Fell can sometimes be the least one, sometimes the largest one, sometimes neither.

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